

**III Semester M.Com, Examination, January 2018**  
**(CBCS)**  
**COMMERCE**  
**Paper – 3.2 FB : Financial Markets**

Time: 3 Hours

Max. Marks: 70

**SECTION – A**

1. Answer any seven of the sub-questions. Each question carries 2 marks. ( $7 \times 2 = 14$ )
- How does FPO differs from IPO ?
  - What is meant by Book building ?
  - What do you understand by NOW with respect to NSE ?
  - What is SAMURAI Bond ?
  - Give the trading timings of BSE Ltd.
  - Give the meaning of Insider trading.
  - What is meant by Green Shoe option ?
  - Name participants in international financial markets.
  - What is meant by circuit breaker ?
  - Give the percentage promoters' contribution in an IPO.

**SECTION – B**

Answer any four questions, each question carries 5 marks. (4×5=20)

- Explain various methods of selling securities.
- Who is a registrar to issue ? Bring out the functions of registrar to an issue.
- Write a note on OTCEI.
- What are Global depositor receipts ? Who do firms go for international financial markets to raise funds.
- Bring out the details given in prospectus of a public issue.
- Explain the trading mechanism of securities in BSE Ltd.

P.T.O.



## SECTION-C

Answer any three questions. Each question carries 12 marks. (3×12=36)

3. "Efficient management of public issue depends on Merchant banker". Do you agree? Justify your answer.
9. Bringout the various investors protection measures taken by SEBI
10. There are various guidelines for raising funds in international markets through various instruments. Explain
11. Briefly discuss the working of International stock exchanges
12. Answer the following.
  - 1) Pricing of New Issues
  - 2) Screen based trading

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